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<u>March 23, 2004</u> Date Mailed	<u>Yuko Tanaka</u> Name	<u><i>Y. Tanaka</i></u> Signature	<u>March 23, 2004</u> Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**-Applicants:**

Michael T. Morse

Examiner: Not Yet Assigned

Serial No.: New Application

Filed: Concurrently herewith

Art Unit: Not Yet Assigned

For: EPITAXIAL GROWTH FOR  
WAVEGUIDE TAPERING

Docket No.: 42P13843D

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir/Madam:

This preliminary amendment is submitted together with a new divisional application. An Information Disclosure Statement (IDS) is also included herewith. Please amend the application as shown below prior to consideration.

**In the Specification**

On page 1, paragraph [0001], immediately below the heading "CROSS REFERENCE TO RELATED APPLICATIONS," please replace with the following new paragraph:

-- [0001] This application is a divisional of, and claims priority under 35 U.S.C. § 120 from, U.S. Patent Application Serial No. 10/159,238, filed May 31, 2002, and still pending, which is related to commonly-assigned and co-filed U.S. Patent Application Serial No. 10/160,625, filed May 31, 2002, entitled "Method For Producing Vertical Tapers In Optical Waveguides By Over Polishing" by M. Salib, and to U.S. Patent